

REMARKS/ARGUMENTS

I. Incomplete Application

The Office has notified Applicant that the above-identified patent application is in need of a corrected figure sheet 13.

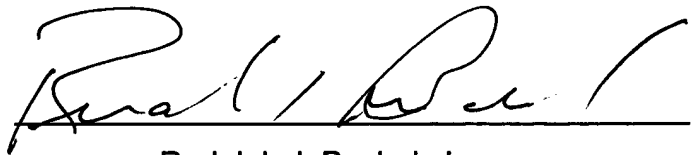
Applicant has, on February 20, 2007, filed a Rule 37 1.312 amendment which includes spelling changes in several of the drawings. At that time, a complete set of "formal" drawings was also presented. It is not immediately whether the Office of Patent Publications has not received the replacement and formal figures or has detected a problem with those figures. Please find enclosed copies of both drawing sets that accompanied the Rule 37 1.312 amendment. It should be noted that the figure on sheet 13 was NOT changed in the amendment.

II. Conclusion

The Office is invited to call the undersigned at the below-listed telephone number if it will expedite or aid the issuance of this application.

Respectfully submitted,

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Rudolph J. Buchel, Jr.
Reg. No. 43,448

Rudolph J. Buchel, Jr., PC
P.O. Box 702526
Dallas, Texas 75370-2526
(972) 930-9449 TELEPHONE
(866) 930-9449 TOLL FREE
(972) 930-9446 FACSIMILE
r.buchel@buchel-ip.com EMAIL

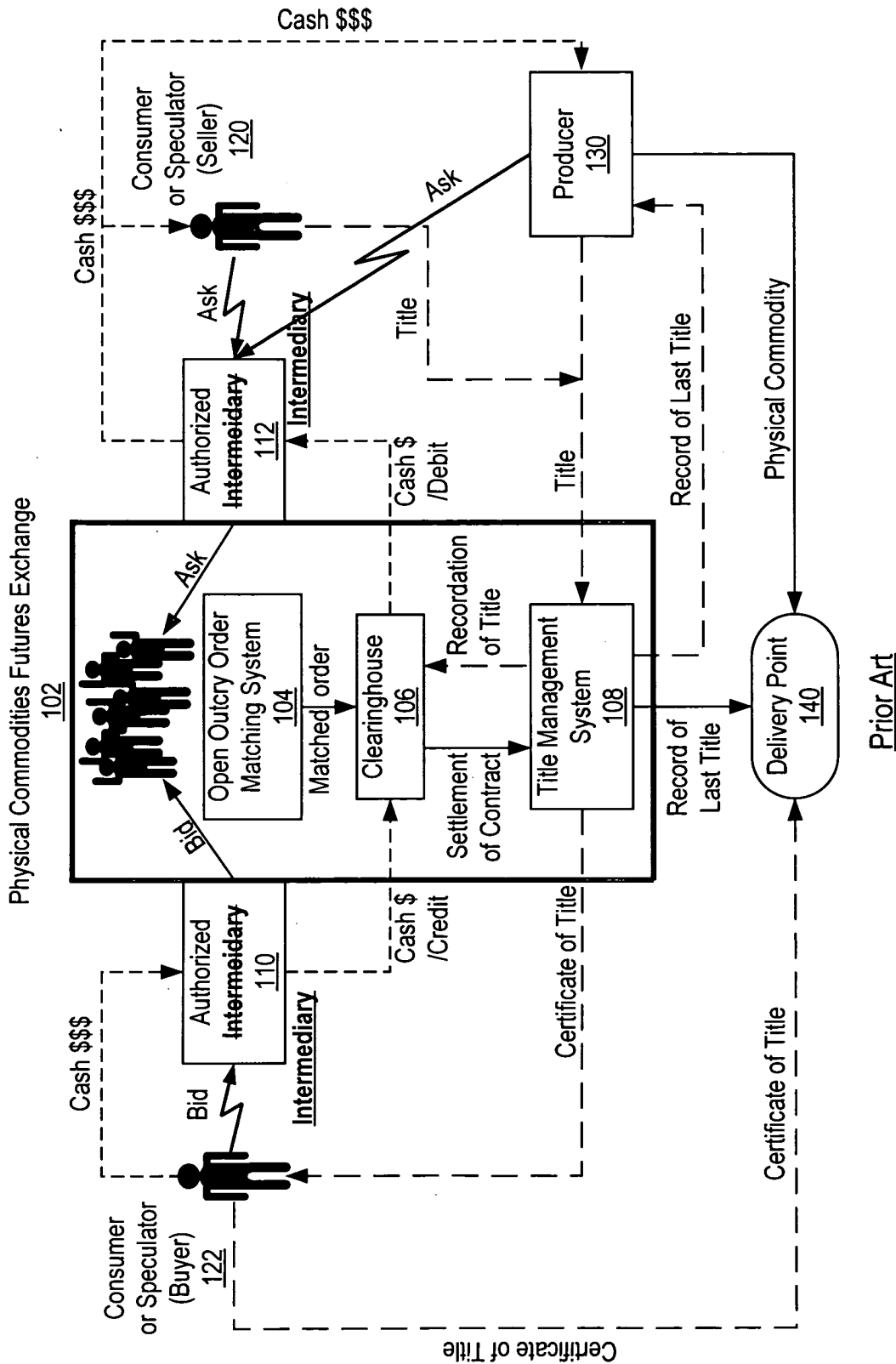
Attorney for Applicant

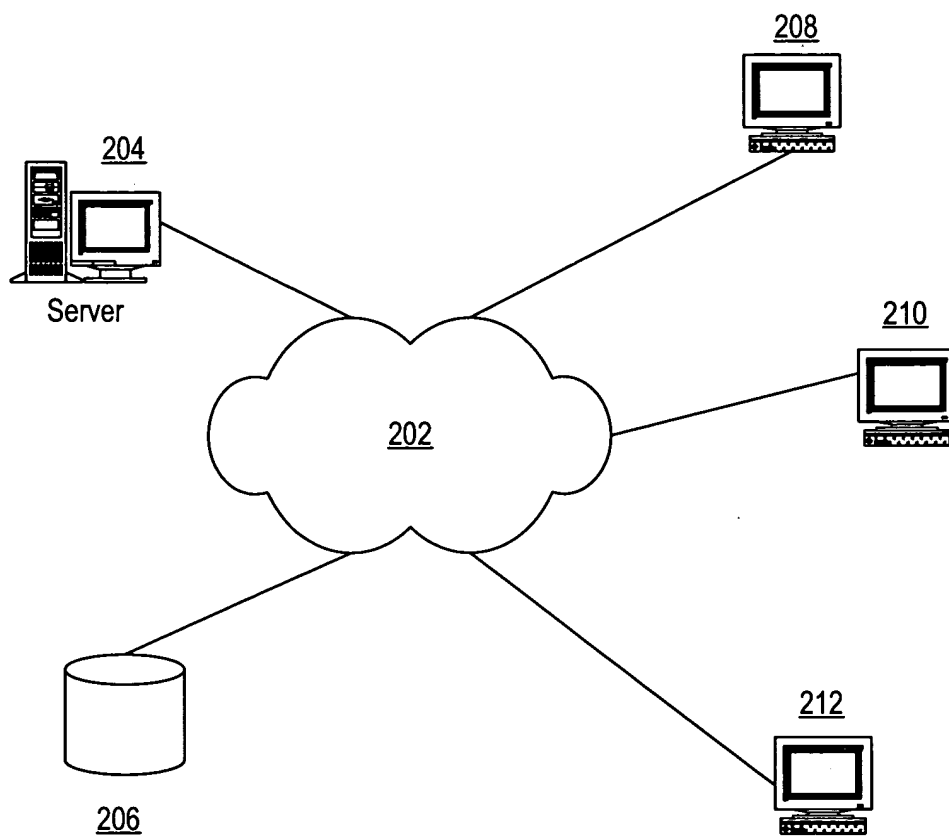


Figure 1

Physical Commodities Futures Contract Exchange Mechanism

100





200
Network
Figure 2

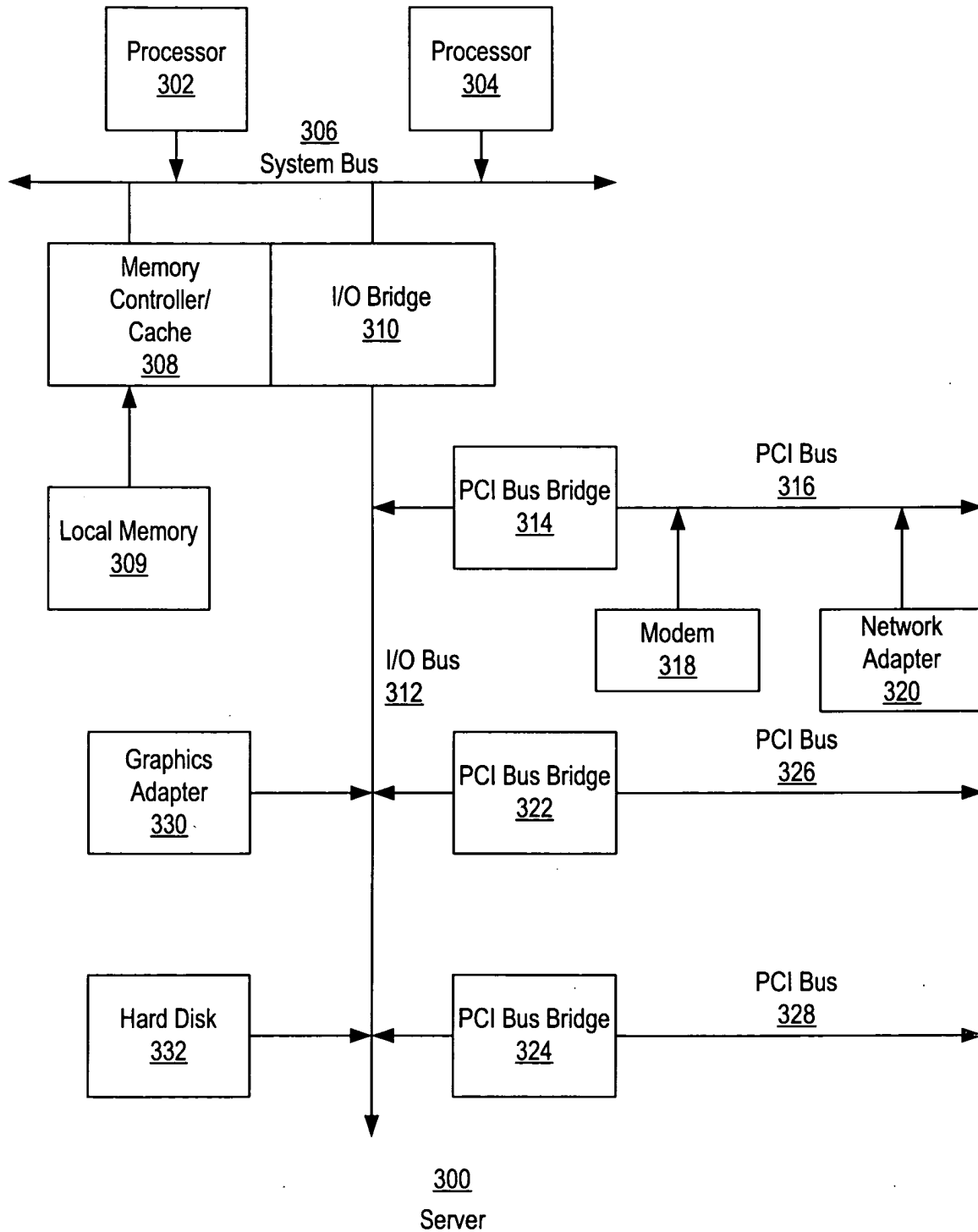
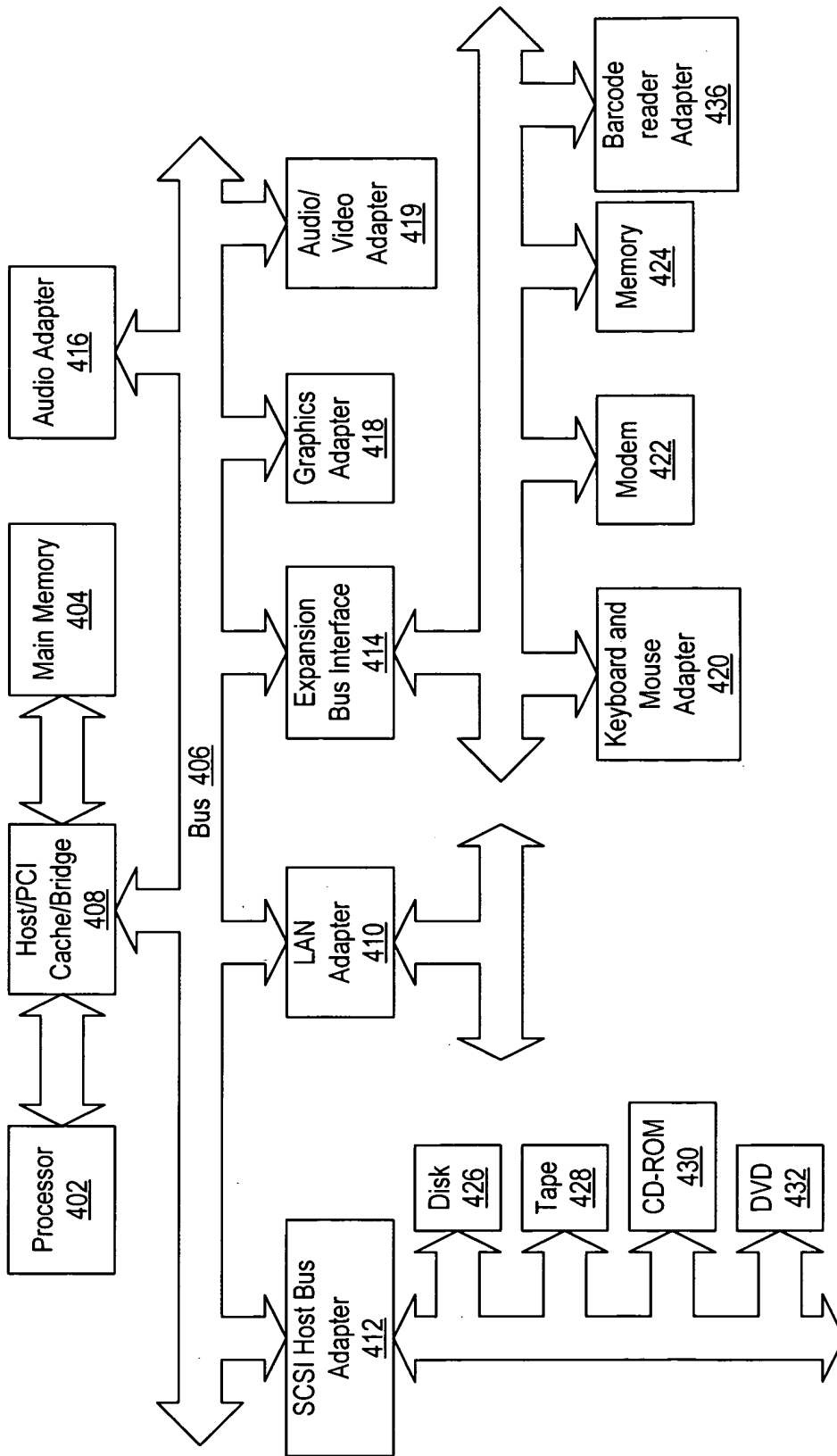


Figure 3



400
Client
Figure 4

Figure 5

Services Futures Exchange (SerFEx) Mechanism

500

SerFEx
502

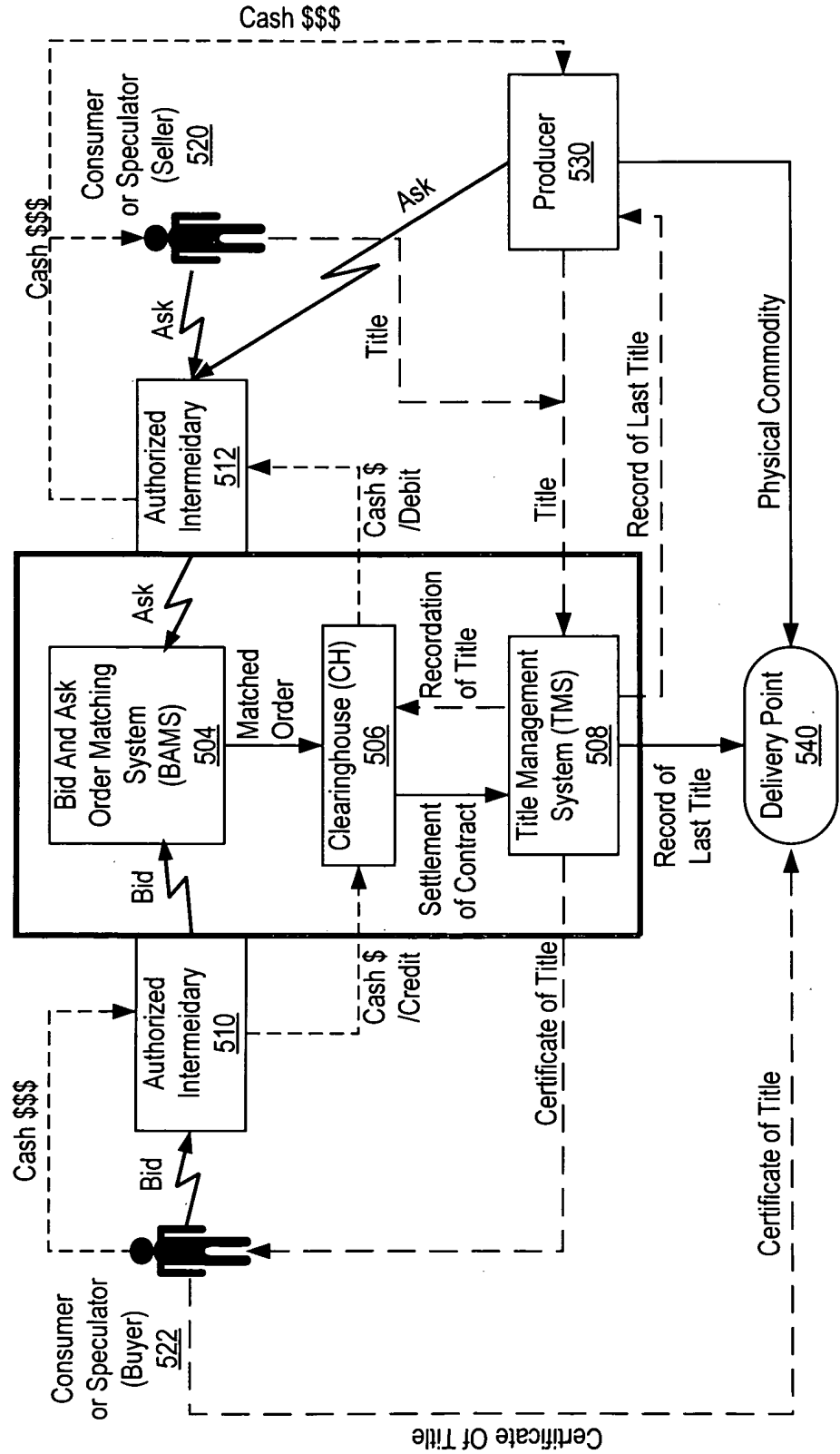


Figure 6

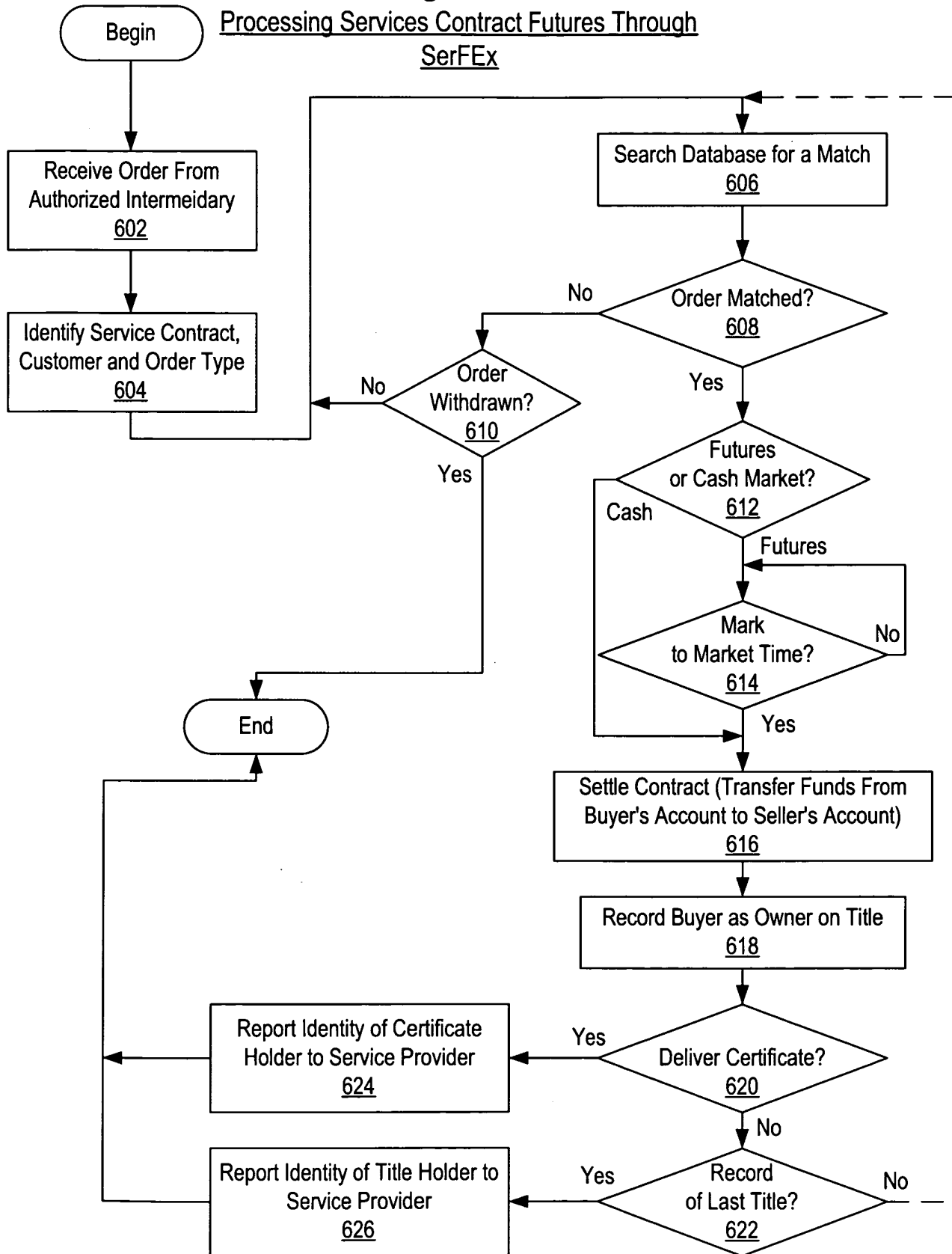


Figure 7

Buying Service Contract Futures Through
SerFEX

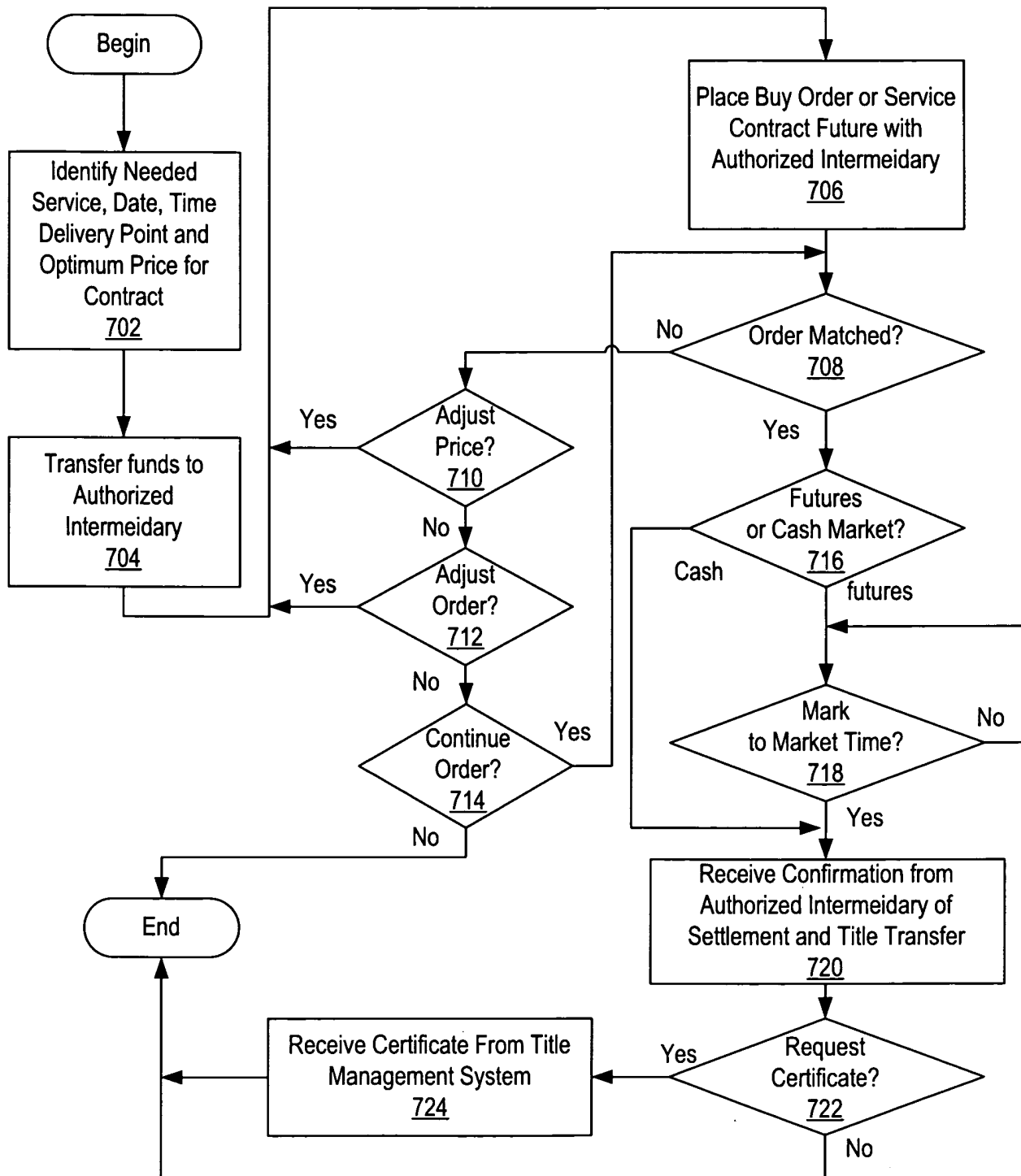


Figure 8
Selling Service Futures Contract Through
SerFEx

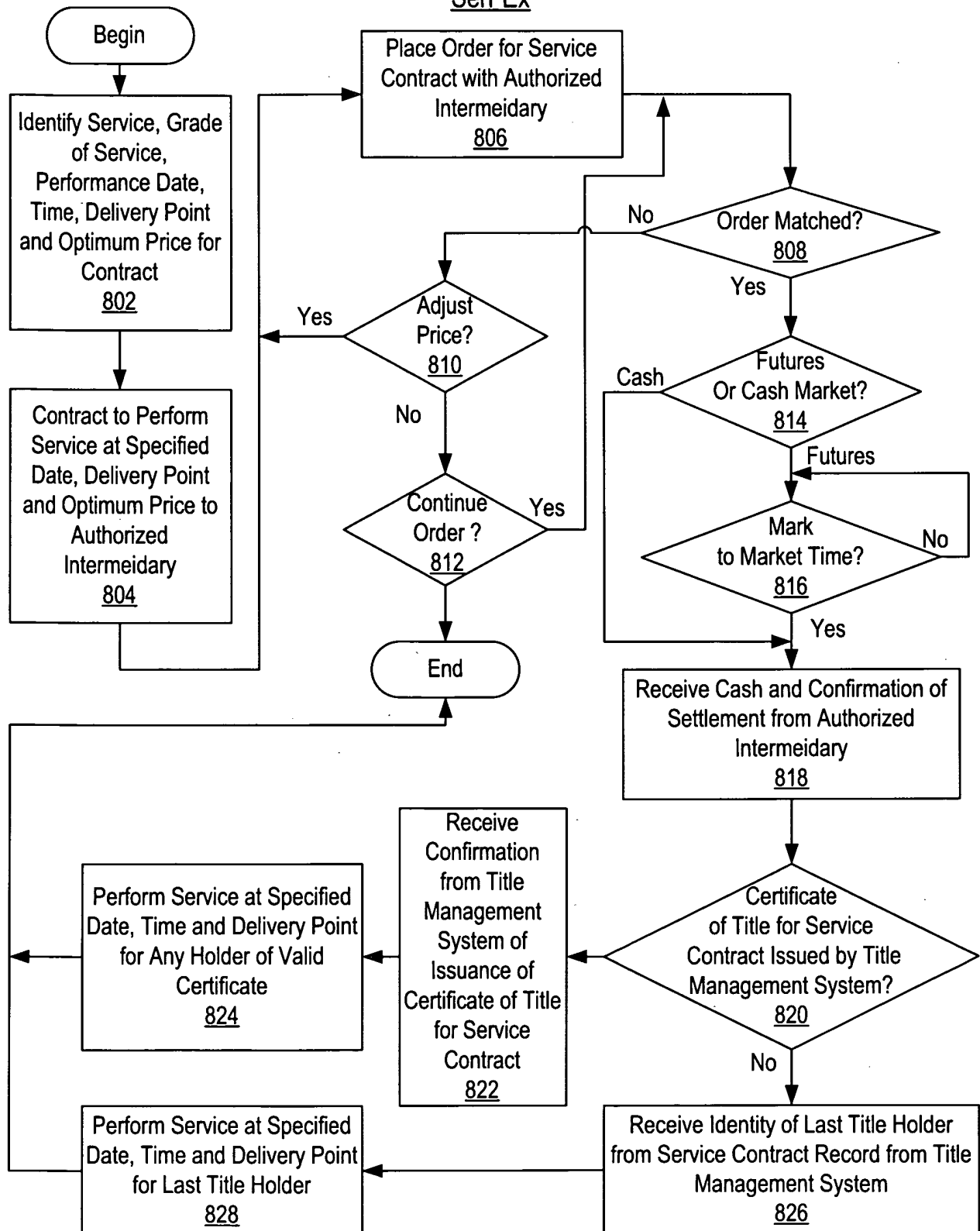


Figure 9A

Selling Royalty Escrow Service Contract Futures Through SerFEx

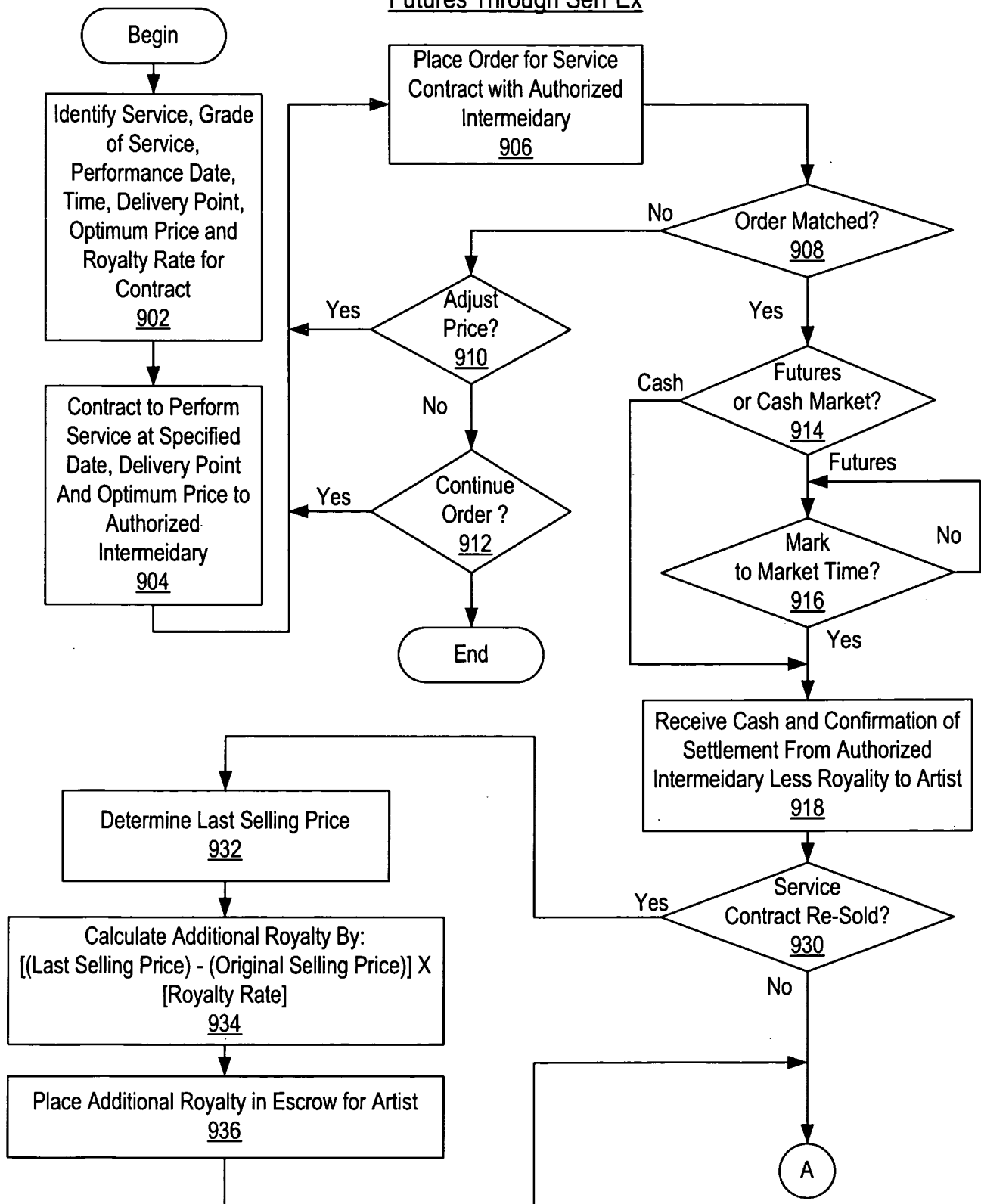


Figure 9B
Selling Royalty Escrow Service Contract
Futures Through SerFEx

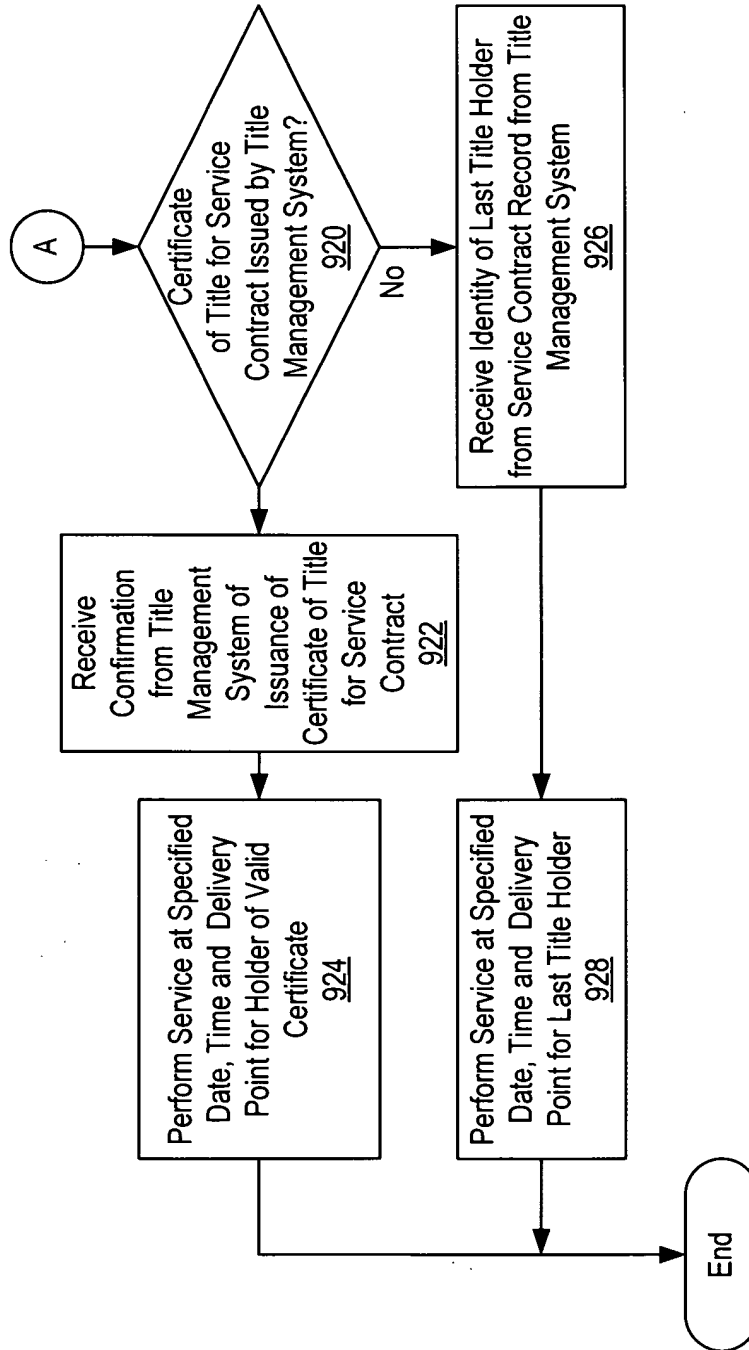


Figure 10
Changing A Service Contract Order In The SerFEx

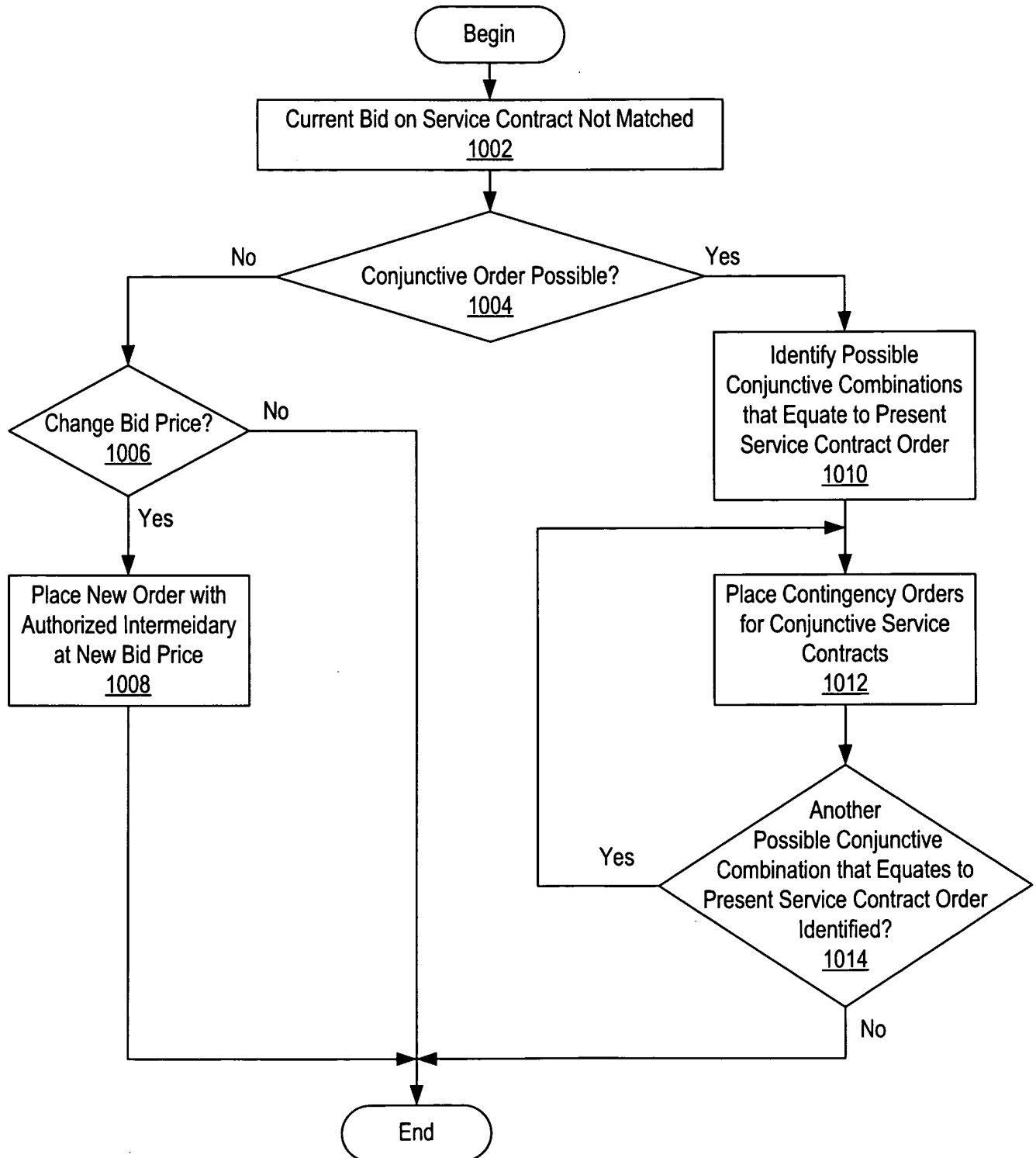


Figure 11

Determining Whether Cash or Future Market Rules
Apply for Processing an Order in the Surfed

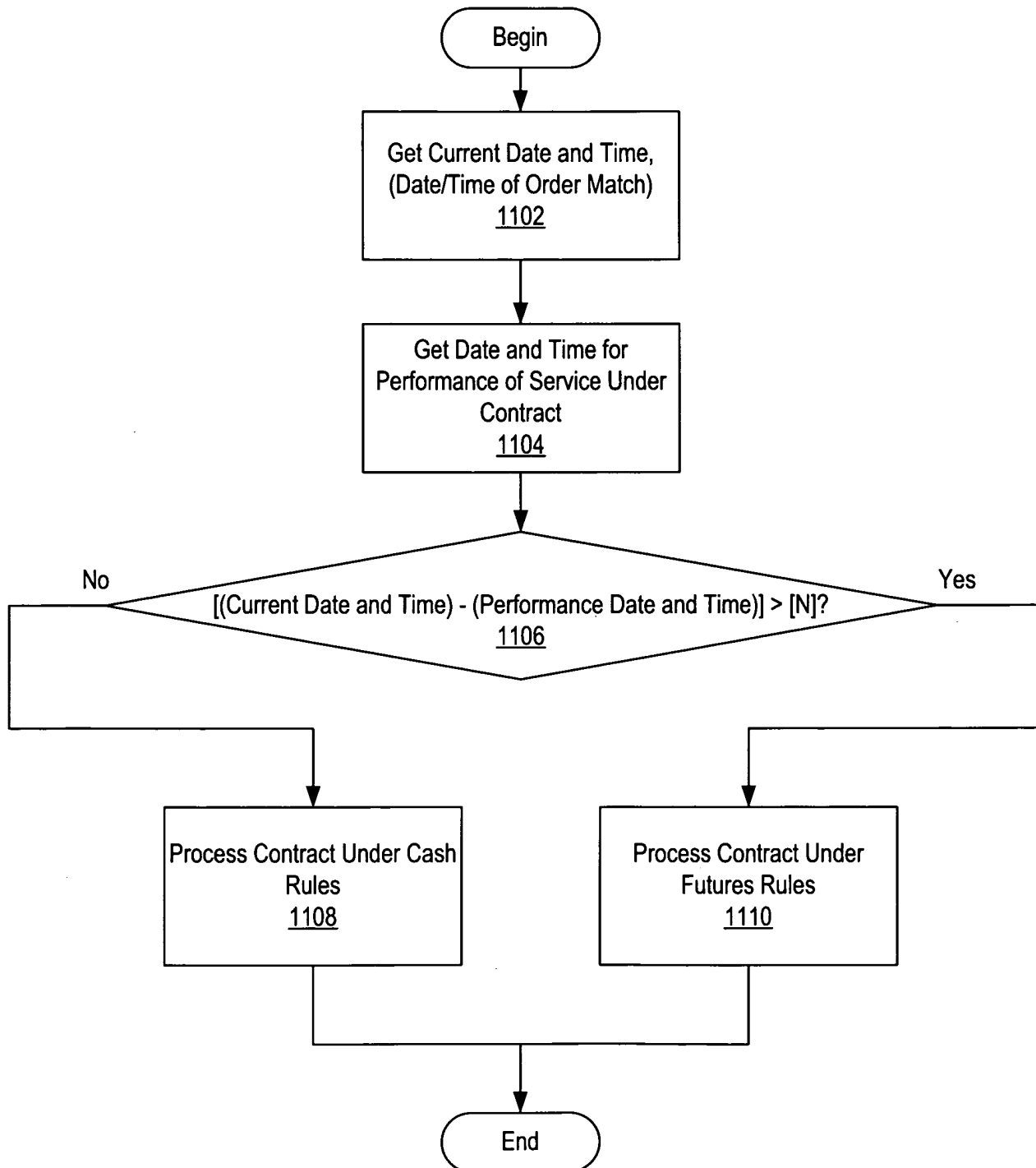


Figure 12
Service Provider Performance On Service
Contract

